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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/424,482	02/29/2000	YEN CHOO	PM264974	8038

909 7590 01/29/2002  
PILLSBURY WINTHROP LLP  
1600 TYSONS BOULEVARD  
MCLEAN, VA 22102



*Transferred Out*

EXAMINER

BHATTI, TAHIRA H

ART UNIT PAPER NUMBER

1627

DATE MAILED: 01/29/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

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FEB 04 2002

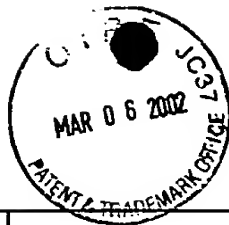
CL \_\_\_\_\_ MT# \_\_\_\_\_  
ATTY(S) \_\_\_\_\_  
DUE: \_\_\_\_\_  
DKT BY (1) \_\_\_\_\_ (2) \_\_\_\_\_

019496-006210

*Docket 3-1-02*  
*PPA*

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Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.
09424482	2/29/2000	Yen Choo	

EXAMINER	
Tahira Bhatti	
ART UNIT	PAPER NUMBER
1627	19

DATE MAILED:

Please find below a communication from the EXAMINER in charge of this application.

The communication filed on **3/30/01**. is not fully responsive to the communication mailed **6/20/01**.

Since the response appears to be **bona fide**, but through an apparent oversight or inadvertence failed to provide a complete response, applicant is given **ONE (1) MONTH or THIRTY (30) DAYS** from the mailing date of this notice, whichever is longer, within which to supply the omission or correction in order to avoid abandonment. **EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37-CFR 1.136(a).**

Any inquiry concerning this communication should be directed to Tahir Bhatti whose telephone number is (703) 605-1203. The examiner can normally be reached on Monday through Friday from 8:00 am to 4:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jyothsna Venkat, can be reached at (703)308-2439. The fax number for this group is (703)305-3014.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the group receptionist whose telephone number is (703)308-0196.

*[Signature]*  
PADMA SHRI PONNALURI  
PRIMARY EXAMINER  
*[Signature]*  
for SPE

D

Application No.: 09/42448

**NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING  
NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES**

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 C.F.R. 1.821 - 1.825 for the following reason(s):

- ☒ 1. This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to these regulations, published at 114 OG 29, May 15, 1990 and at 55 FR 18230, May 1, 1990.
- ☐ 2. This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 C.F.R. 1.821(c).
- ☐ 3. A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e).
- ☐ 4. A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing."
- ☐ 5. The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A Substitute computer readable form must be submitted as required by 37 C.F.R. 1.825(d).
- ☐ 6. The paper copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 C.F.R. 1.821(e).
- ☒ 7. Other: The applicant has not fully complied with sequence listing rules, which requires the use of SEQ ID NO. See for e.g. claim 20 and page 18 of the specification do not comply with the sequence rules, as required by 37 CRF 1.82 (d).



**Applicant Must Provide:**

- ☒ An initial or substitute computer readable form (CRF) copy of the "Sequence Listing".
- ☒ An initial or substitute paper copy of the "Sequence Listing", as well as an amendment directing its entry into the specification.
- ☒ A statement that the content of the paper and computer readable copies are the same and, where applicable, include no new matter, as required by 37 C.F.R. 1.821(e) or 1.821(f) or 1.821(g) or 1.825(b) or 1.825(d).

For questions regarding compliance to these requirements, please contact:

For Rules Interpretation, call (703) 308-4216

For CRF Submission Help, call (703) 308-4212

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty. Docket No: 71278/264974/BET

In re patent application of

CHOP, YEN et al.

Serial No. 09/424,482

Filed: February 29, 2000

For: NUCLEIC ACID BINDING POLYPEPTIDE LIBRARY



STATEMENT TO SUPPORT FILING AND SUBMISSION IN  
ACCORDANCE WITH 37 C.F.R. §§ 1.821-1.825

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Box SEQUENCE

Sir:

In connection with a Sequence Listing submitted concurrently herewith, the undersigned hereby states that:

1. the submission, filed herewith in accordance with 37 C.F.R. § 1.821(g), does not include new matter;
2. the content of the attached paper copy and the attached computer readable copy of the Sequence Listing, submitted in accordance with 37 C.F.R. § 1.821(c) and (e), respectively, are the same; and
3. all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United

D

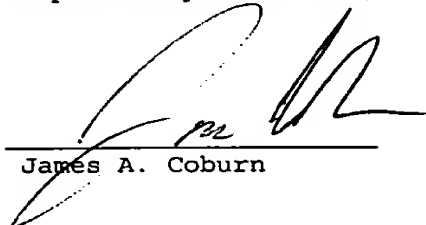
Serial No. 09/424,482

States Code and that such willful false statements may jeopardize the validity of the application or any patent resulting therefrom.

Respectfully submitted,

Date

Sept. 28, 2001  
**HARBOR CONSULTING**  
Intellectual Property Services  
1500A Lafayette Road  
Suite 262  
Portsmouth, N.H.  
800-318-3021

  
James A. Coburn

**TRANSMITTAL  
FORM** MAR 06 2002

(to be used for all correspondence after initial filing)

Application Number	09/424,482
Filing Date	February 29, 2000
First Named Inventor	CHOO, Yen
Group Art Unit	1627
Examiner Name	Bhatti, Tahira H.
Attorney Docket Number	019496-006210US

Total Number of Pages in This Submission

57

**ENCLOSURES (check all that apply)**☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/  
Incomplete Application☐ Response to Missing  
Parts under 37 CFR  
1.52 or 1.53☐ Assignment Papers  
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69)  
and Accompanying Petition☐ Petition to Convert to a  
Provisional Application☐ Power of Attorney, Revocation  
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to  
Group☐ Appeal Communication to Board of  
Appeals and Interferences☐ Appeal Communication to Group  
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)  
(please identify below):

Return Postcard

Amendment (10 pp.)

Copy of Notice to Comply with  
Requirements

Copy of previously sub

Listing (46 pp.)

CRF Sequence List

Remarks

The Commissioner is authorized to charge any  
Deposit Account 20-1430.**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
and  
Individual name

Townsend and Townsend and Crew LLP

Joe Liebeschuetz

Reg. No. 37,505

Signature

Date

February 28, 2002

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: February 28, 2002

Typed or printed name

Paula Faulk Hurley

Signature

Date

February 28, 2002

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Use 2 postcards for all New Applns. (cont/Div/CIP, too)  
Use this sheet when filing CPA

Appln. No: 09/424,482	Atty: Blair E. Taylor
First Inventor: CHOO	Date: October 12, 2001
	Matter No: 264974
	Client No: 71278

### ENCLOSED:

☒ Response/Amendment   ☒ Appendix   ☒ Cover Sheet   ☐ Cited/Listed Documents

☐ Completion Request for R 53(f)/PCT Nat.

# No. of Pages Abstract  
# No. of Pages Spec and Claims  
# No. of Numbered Claims Only  
# No. of Sheets of Drawings (Figs)  



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☐ 1 Set Formal   ☐ 1 Set Informal   ☐ Cover Letter

☐ Declaration   ☐ # of pages

☐ Assignment   ☐ Cover Sheet

☐ Small Entity Declaration

☐ Extension Petition (PAT-111)

# ☐ No. of Priority Documents

☐ IDS Letter   ☐ Cited Appln(s)   ☐ Foreign Sch Rep/OA

☐ PTO-1449   ☐ Cited Documents

☐ Issue Fee Transmittal Form PTOL-85(b) + (c)

\$ 460.00

Fee (Check)

OTHER:

LETTER TO THE OFFICIAL DRAFTSPERSON; STATEMENT TO SUPPORT FILING AND SUBMISSION IN ACCORDANCE WITH 37 CFR § 1.821-1.825 (WITH PAPER AND CRF SEQUENCE LISTING); AND PETITION FOR REFUND OF FEES UNDER 37 CFR § 1.182.

Current DUE DATE:

October 21, 2001

(Submit Single Copy Only)